

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NUMBER: 8359-AG11-1027-139

Daniel J. Haines,
Agent / Respondent

5031 Wolflake Drive
Lafayette, Indiana 47905

Type of Agency Action: Enforcement

Indiana Insurance License No.: 414121

FILED

NOV 17 2011

STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER AND APPROVAL

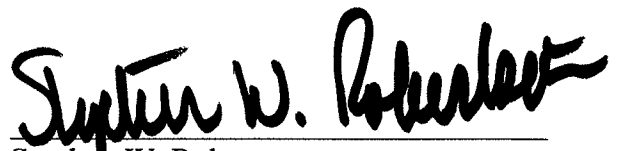
The Indiana Department of Insurance ("Department") and Daniel J. Haines, ("Respondent"), signed an Agreed Entry which purports to resolve all issues involved in the action by the Department regarding Respondent's license, and which has been submitted to the Commissioner of Insurance for approval.

The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner of Insurance:

1. Respondent used fraudulent and dishonest practices, demonstrating untrustworthiness in the conduct of business in Indiana.
2. Respondent forged another's name to an application for insurance.
3. Respondent's Indiana resident producer's license, number 414121, is Permanently Revoked.

ALL OF WHICH IS ORDERED this 17th day of November 2011.



Stephen W. Robertson,
Commissioner
Indiana Department of Insurance

Distribution:

Nikolas P. Mann
INDIANA DEPARTMENT OF INSURANCE
~~311 West Washington Street, Suite 300~~
~~Indianapolis, Indiana 46204-2787~~

Daniel J. Haines
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Lafayette, Indiana 47905

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AGREED ENTRY

This Agreed Entry is entered into by Nikolas P. Mann, attorney for and on behalf of the State of Indiana, Department of Insurance ("Department"), and Daniel J. Haines ("Respondent"), who is a licensed Indiana resident insurance producer holding license number 414121, to resolve all matters under Cause Number 8359-AG11-1027-139. This Agreed Entry is subject to the review and approval of Stephen W. Robertson,

Commissioner, Indiana Department of Insurance.

WHEREAS, Respondent is a licensed resident insurance producer in the State of Indiana, whose license expires December 31, 2011; and

WHEREAS, on June 11, 2009, the Department received a copy of a letter addressed to Respondent from Thomas C. Johnson, Director of Field Associate Relations, Western & Southern Life, stating that Respondent had admitted that he had falsified the client signatures on the application for Jennifer Foutch and John Allen. Thomas Johnson stated that in January of 2008 Respondent was demoted from a sales manager's position and placed on six (6) months probation for his involvement in the submission of a falsified

signature of Laymon Riddle. Thomas Johnson also stated that in April of 2009 he was made aware of a conflict of interest where Respondent became the Power of Attorney and Trustee for Pamela Thomeson, a Western & Southern client, then began withdrawing funds from her Western & Southern annuity; and

WHEREAS, in a Western & Southern Summary Memo dated January 21, 2008 questioned signatures were addressed, signatures on reinstatement applications on the lives of Kenneth, Tiffany, and Richard Vernon submitted by Respondent. The questioned documents, along with known exemplars of all parties' handwriting, were submitted to forensic document examiner Ray Fraley for review. In Ray Fraley's expert opinion, all questioned signatures were probably signed by the Respondent; and

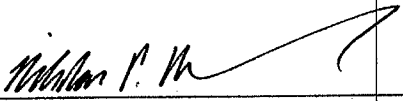
WHEREAS, the Department and Respondent desire to resolve their differences and settle the issues without a hearing;

IT IS, THEREFORE, NOW AGREED by and between the parties as follows:

1. The Commissioner has jurisdiction over the subject matter and Respondent in this administrative action.
2. This Agreed Entry is executed voluntarily by the parties.
3. Respondent voluntarily and freely waives his right to a public hearing on the issues in this action.
4. Respondent used fraudulent and dishonest practices, demonstrating untrustworthiness in the conduct of business in Indiana or elsewhere.
5. Respondent forged signatures on applications for insurance.
6. Respondent agrees to the permanent revocation of his Indiana resident insurance producer license number 414121.

7. The Department agrees to accept Respondent's compliance with the terms of this Agreed Entry as full resolution of this matter.
8. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.
9. Respondent has carefully read this agreement and fully understands and accepts its terms.

11/14/11
Date Signed


Nikolas P. Mann, Attorney
Indiana Department of Insurance

11/8/11
Date Signed


Daniel J. Haines, Respondent

STATE OF INDIANA)

COUNTY OF Tippecanoe) SS:

Before me a Notary Public for Tippecanoe County, State of Indiana,
personally appeared Daniel J. Haines and being first duly sworn by me upon his oath,
states that the facts alleged in the foregoing instrument are true. Signed and sealed this
8th day of November 2011.

Amber Burton

Notary Signature

Amber Burton

Notary Name Printed

My Commission expires: 10-25-2017

County of Residence: Tippecanoe



Tippecanoe County
Amber Burton
The UPS Store
Expires: 10/25/2017

INDIANA DEPARTMENT OF INSURANCE

Enforcement Division

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